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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Smith, et al.

Appl. No.: Unknown 09/686298

Filed: Herewith

Title: METHOD, SYSTEM AND ARTICLE OF
MANUFACTURE FOR ACCESSING
COMPUTATIONAL RESOURCES THROUGH
ELECTRONIC MESSAGES

PATENT APPLICATION

Art Unit: Unknown
Examiner: Unassigned

Customer No: 23910

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UNDER 37 C.F.R. §1.10**

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Matthew A. Mahling (Signature)
Matthew A. Mahling
Signature Date: June 22, 2001

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Box PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ✓ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ✓ A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office,

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Docket No.: D/A 1053
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XERX-01051US0

the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

This statement should be considered because:

☒ 37 C.F.R. §1.97(b). This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
— OR —
- (2) It is being filed within 3 months of entry of a national stage;
— OR —
- (3) It is being filed before the mailing date of the first Office Action on the merits,
— OR —
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

— 37 C.F.R. §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 C.F.R. §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL office action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.
— AND (check at least one of the following) —
— (1) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(c).
— OR —
— (2) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

— 37 C.F.R. §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 C.F.R. §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;
— AND —
- (2) It is accompanied by a STATEMENT as set forth in 37 C.F.R. §1.97(e);
— AND —
- (3) It is accompanied by the \$180 fee set forth in 37 C.F.R. §1.17(p).

☒ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 24-0037. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: 6/22/01

By: 

Larry T. Harris, Reg. No. 44,745

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XERX-01051US0

Sheet 1 of 1

Form PTO-1449 (Substitute)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Anatomy Docket Number XERX-01051US0		Serial/Patent Number Unknown	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant/Patent Owner Ian E. Smith et al.			
				Filing/Issue Date Herewith		Group Art Unit Unknown	
U.S. PATENTS							
Examiner Initial		Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
U.S. PATENT PUBLICATIONS							
Examiner Initial		Patent Application Publication Number	Publication Date	Applicant			
PENDING U.S. PATENT APPLICATIONS							
Examiner Initial		Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No		
FOREIGN PATENT DOCUMENTS							
Examiner Initial		Document Number	Publication Date	Country	Class	Subclass	Trans- lation Yes No
OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)							
	1.	Bornstein, Nathaniel S., "Computational Mail as Network Infrastructure for Computer-Supported Cooperative Work," <i>CSCW 92 Proceedings</i> , November 1992, pp. 67-74.					
	2.	Gold, Eric, "Envoys in Electronic Mail Systems," <i>Proceedings of ACM SIGOIS</i> , 1986, pp. 2-10.					
	3.	Goldberg, Yaron; Safran, Marilyn and Shapiro, Ehud, "Active Mail - A Framework for Implementing Groupware," <i>CSCW 92 Proceedings</i> , November 1992, pp. 75-83.					
	4.	Malone, Thomas W.; Grant, Kenneth R. and Turbak, Franklyn A., "The Information Lens: An Intelligent System for Information Sharing in Organizations," <i>CHI '86 Proceedings</i> , April 1986, pp. 1-8.					
	5.	Hollan, Jim and Stornetta, Scott, "Beyond Being There," <i>CHI '92</i> , May 3-7, 1992, pp. 119-125.					
	6.	Milewski, Allen E. and Smith, Thomas M., "An Experimental System for Transactional Messaging," <i>Proceedings of ACM</i> , 1997, pp. 325-330.					
	7.	Shepherd, Allan; Mayer, Niels and Kuchinsky, Allan, "Strudel - An Extensible Electronic Conversation Toolkit," <i>CSCW 90 Proceedings</i> , October 1990, pp. 93-104.					
Examiner				Date Considered			
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> <p>*1 = Copy not submitted because it was submitted in prior application SN /_____, filed _____, 20____, relied on under 35 USC §120.</p> <p>*2 = Copy not submitted because it was submitted in prior application SN /_____, filed _____, 20____, relied on under 35 USC §120.</p>							

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PTO/SB/08B (08-03)

Complete if Known

Application Number	09/888,298
Filing Date	6/22/2001
First Named Inventor	Ian E. Smith
Art Unit	2155
Examiner Name	H. Alam
Attorney Docket Number	XERX-01051US0

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use as many sheets as necessary)

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3	VITTAL, JOHN: "Active Message Processing: Message as Messengers" Computer Message Systems. Proceedings of the IFIP TC International Symposium, The Netherlands, April 6, 1981, pp. 175-195.	
	4	ROSE, MARSHALL and BORENSTEIN, NATHANIEL: "A Model for Enabled Mail (EM)" July 19, 1994, published online at http://www.island-resort.com/enabled.htm	
	5	BORENSTEIN, NATHANIEL: "E-mail with a Mind of its Own: The Safe-TCL Language for Enabled Mail" IFIP Transactions C. Communications Systems, Elsevier Science B.V., Amsterdam, The Netherlands. No. C-25. 1994. pp. 389-402.	
	6	FUNFROCKEN STEFAN: "How to Integrate Mobile Agents into Web Servers" Proceedings: Sixth IEEE Workshops on Enabling Technologies: Infrastructure for Collaborative Enterprises, IEEE Computer Society, Cambridge, MA and Los Alamitos, CA, June 1997, pp. 94-99.	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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